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Relationship between *SLC6A2* gene polymorphisms and brain volume in Han Chinese adults who lost their sole child



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Abstract

Background Norepinephrine transporter (NET) is encoded by the *SLC6A2* gene and is a potential target for studying the pathogenesis of PTSD. To the best of our knowledge, no prior investigations have examined *SLC6A2* polymorphism-related neuroimaging abnormalities in PTSD patients.

Methods In 218 Han Chinese adults who had lost their sole child, we investigated the association between the T-182 C *SLC6A2* genotype and gray matter volume (GMV). Participants included 57 PTSD sufferers and 161 non-PTSD sufferers, and each group was further separated into three subgroups based on each participant's *SLC6A2* genotype (TT, CT, and CC). All participants received magnetic resonance imaging (MRI) and clinical evaluation. To assess the effects of PTSD diagnosis, genotype, and genotype × diagnosis interaction on GMV, 2 × 3 full factorial designs were used. Pearson's correlations were used to examine the association between GMV and CAPS, HAMD, and HAMA.

Results The *SLC6A2* genotype showed significant main effects on GMV of the left superior parietal gyrus (SPG) and the bilateral middle cingulate gyrus (MCG). Additionally, impacts of the *SLC6A2* genotype-diagnosis interaction were discovered in the left superior frontal gyrus (SFG). The CAPS, HAMA, and HAMD scores, as well as the genotype main effect and diagnostic *SLC6A2* interaction, did not significantly correlate with each other.

Conclusion These findings indicate a modulatory effect that the *SLC6A2* polymorphism exerts on the SPG and MCG, irrespective of PTSD diagnosis. We found evidence to suggest that the *SLC6A2* genotype-diagnosis interaction on SFG may potentially contribute to PTSD pathogenesis in adults who lost their sole child.

Keywords Post-traumatic stress disorder, Voxel-based morphometry, SLC6A2

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Introduction

As of January 1, 2016 the "One-Child Policy", which has been implemented in China for 36 years was abolished, and a "Two-Child Policy" was fully implemented nationwide [1]. Although the "One-Child Policy" successfully provided solutions for its intended population issues, its related problems are also gradually becoming apparent. Among them, losing one's sole child has become a major public health concern, and there is also increasing scholarly attention towards studying families that have lost the sole child [2-4]. Children are of great value to their parents, providing them with a sense of empowerment and self-worth. For a parent, experiencing the death of a child, especially a sole child, is a traumatic life event that carries long-term ramifications for their physical and mental health. Parents who underwent such loss are called Shidu parents; their experience may potentially cause great physical and psychological impairment, and even give rise to the development of post-traumatic stress disorder (PTSD) [5].

PTSD is a heterogeneous mental disorder that occurs and persists after experiencing serious threatening and catastrophic events. It is known to cause adverse psychological effects and serious damage to social function, greatly impacting the life of the patient. Research has shown that about 70% of people will experience traumatic events in their life, with 10% ~ 20% of them eventually developing PTSD as a result of environmental and individual factors [6]. It should be noted that losing one's sole child does not necessarily cause one to develop PTSD. [7]. Mechanisms that contribute to PTSD development have not been adequately studied and understood. A joint genomics study of tens of thousands of PTSD patients posits that PTSD development is associated with hereditary factors [8]. Candidate genes related to the dopaminergic system, pituitary adrenal axis, hypothalamic serotonergic system, neuroinflammation and other neurotransmitter systems have been studied in PTSD and found to be directly related to its pathogenesis [9].

The norepinephrine (NE) system has wide projections from the locus coeruleus to the cerebral cortex, and is involved in the regulation of various brain functions and behaviors such as arousal, memory acquisition, attention, vigilance, and response to stress [10]. NE is synthesized by the amino acid tyrosine through a sequential reactions catalyzed by tyrosine hydroxylase, DOPA decarboxylase and dopamine β hydroxylase (D β H). NE molecules released from the anterior terminal of synapses bind to different subtypes of adrenergic receptors, triggering a variety of physiological and pharmacological reactions [11]. Extracellular NE can be degraded by enzymes such as monoamine oxidases (MAO) or catechol-O-methyltransferases (COMT), or brought back to the anterior terminal of synapses by norepinephrine transporters (NET) [12]. The NET regulates synaptic norepinephrine signals in the brain and the autonomic sympathetic nervous system, maintaining intracellular norepinephrine reserves [13, 14]. In addition to PTSD, many other health conditions such as hypertension, obesity, anorexia nervosa, ADHD and depression, are related to NET dysfunction [15]. Encoded by the SLC6A2 gene, the NET is a presynaptic Na⁺/CL⁻ dependent transporter that is distributed in the locus coeruleus, frontal cortex, amygdala, thalamus, hippocampus and cerebellar cortex [16]. The SLC6A2 gene, solute carrier family 6 member 2, which is found on chromosome 16q12.2, is the most studied gene involving the noradrenergic system [17]. Previous studies have shown an independent association between T-182 C polymorphisms in the SLC6A2 5' flanking promoter region (rs2242446) and PTSD anxiety arousal symptoms [18]. Variability in brain morphology of patients with Genetics and epigenetic factors may play an important role in regulating brain development and neurodegeneration in PTSD. Some studies have demonstrated a link between brain volume and genetic polymorphisms in PTSD, for example, the brain-derived neurotrophic factor (BDNF) Val66met polymorphism may increase susceptibility to PTSD and anxiety disorders via an interaction with reduced ventromedial prefrontal cortex and insular cortex volume [19], the FK506-binding protein 5 (FKBP5) rs1360780 is associated with smaller gray matter volumes in the dorsal anterior cingulate cortex [20], and the catechol-O-methyltransferase (COMT) polymorphism moderates the association between PTSD and hippocampal volume [21]. It is, however, unknown whether the SLC6A2 polymorphisms contribute to neuroimaging changes in PTSD patients according to previous studies. In this context, we aim to investigate whether there is a certain connection between the SLC6A2 polymorphisms and objective imaging indicators in Chinese Shidu population. We hypothesized that, the SLC6A2 polymorphisms moderated the association between PTSD diagnosis and GMV.

Materials and methods

Participants

Participants in this study were recruited through advertisement from a PTSD survey of Han Chinese parents in Jiangsu Province, China, who had lost their sole child, from September 2016 to March 2017. The reasons of losing only child include traffic accident, accidental explosion, suicide, cancer, sudden death and so on. An ethics committee at the Medical Research Ethics Committee of Jiangsu University approved this study. In total, 237 Han adults who had lost their sole child took part in the study. Informed consent was obtained from all participants prior to participating in the study. With prior major traumatic exposures as an exclusion criterion, the participants were successfully interviewed and screened by the clinician-administered PTSD scale (CAPS). CAPS is a structured interview that uses standardized questions to diagnose and assess the severity of PTSD. It contains 30 items in total, including seventeen core symptoms and eight related symptoms, with three subscales of repeated experience, avoidance and increased alertness. The higher the score of each symptom, the greater the severity of the symptom. While if the total score is less than nineteen, it means asymptomatic. To confirm the diagnoses of PTSD and other potential psychiatric comorbidities, the Chinese version of the structured clinical interview for DSM-IV58(SCID) [22] —revised by Prof. Lipeng Fei from the Beijing Hui Long Guan Hospital-was used to screen all 237 adults. Among these participants, there were 57 trauma-exposed adults diagnosed with PTSD (19 of them had comorbid major depressive disorder (MDD), 3 had comorbid generalized anxiety disorder (GAD), and 1 had both MDD and GAD comorbidities). 170 traumaexposed adults met no diagnostic criteria for mental illness or substance-use disorders. This study excluded 10 trauma-exposed adults diagnosed with other psychiatric disorders (5 of them had MDD, 4 had GAD, and 1 had both generalized anxiety and MDD).

After the subsequent MRI scanning, the following conditions were excluded: any history or current brain injury or any other major medical or neurological conditions (5 trauma-exposed adults without PTSD were ruled out due to these indications: 4 of them had cerebral ischemia or infarction and 1 had MDD and its associated antidepressants), and left-handedness (none).

Measures

Every bereaved adult was evaluated using a suite of neuropsychological assessments, which included: the Hamilton Depression (HAMD) [23], Hamilton Anxiety (HAMA) [24] rating scales and the Mini-Mental State Examination (MMSE) [25]. HAMD and HAMA are the most commonly used scale to assess depression and anxiety state in clinic. There are 17 items in total. The more serious the disease, the higher the total score. If the total score is less than seven, it is normal. MMSE is the most widely used cognitive function screening tool at home and abroad. There are 30 items in total, and the total score range of the scale is 0–30 points. The higher the total score, the higher the cultural level.

DNA genotyping

Of all the participants enrolled in this study, three trauma-exposed adults without PTSD refused to undergo the blood collection procedure. All other subjects provided peripheral blood samples, from which DNA material was extracted for further analysis. Genesky Biotechnologies, Inc. (Shanghai, China) [26] developed the

Improved Multiple Ligase Detection Reaction (iMLDR) technique for genotyping *SLC6A2* rs2242446 (detailed primers were listed in Supplementary Table S1). iMLDR is an improved multiple SNP typing technology which uses PCR products as templates for high-specific double ligation reaction. Randomly selected sample from 5% of the samples were confirmed, and their results were 100% concordant. Deviation of genotype distributions from the Hardy Weinberg equilibrium (HWE) was assessed with the χ^2 test for goodness of fit. HWE means that for a large and randomly mated population, the allele frequency and genotype frequency will remain unchanged without migration, mutation and selection. If the genotype distribution of a SNP locus in the study does not conform to HWE, the SNP typing data cannot be analyzed.

Image acquisition

We used a 3.0T Philips MR scanner (Achieva 3.0 TTX; Amsterdam, the Netherlands) to acquire high-resolution structural MR images. The head motion was minimized during the acquisition of the images by applying foam pads. While scanning, participants were told to remain awake, close their eyes, and hold still. Three-dimensional T_1 -weighted structural brain images were acquired in the sagittal orientation using the turbo fast echo (3D-T1TFE) sequence: repetition time (TR)/echo time (TE)=9.7/4.6; flip angle=9°; matrix size=256×256; field of view (FOV)=256×256 mm²; slice thickness=1 mm; number of slices=160.

Structural data preprocessing

Our preprocessing toolbox used SPM12 (http://www.fil. ion.ucl.ac.uk/spm) and the CAT12 (http://dbm.neuro. uni-jena.de/cat12/) voxel-based morphometry (VBM) for T1-weighted structural brain images. All parameters have been set to the default values recommended by the CAT12 manual, with the exception of the template option, which has been set to affine regularization with the International Consortium for Brain Mapping template for East Asian brains [27]. Then DARTEL algorithm is used to correct the bias field, classify the tissue and normalize the space of the structure images. The images were then segmented into cerebrospinal fluid (CSF), white matter (WM), and gray matter (GM). Tissue deformation was performed to adjust the segmented GM images of participants. Finally, a Gaussian filter (8 mm full width at half maximum, FWHM) was used to smooth the normalized and modulated GM images. After performing the quality control, one participant (without PTSD) was excluded for poor image quality.

Statistical analysis

The SPSS 26(IBM Corp, Armonk, NY, USA) software package was used to perform data analysis of the PTSD

 Table 1
 Demographics of study participants: Han Chinese adults

 who lost an only child
 Image: Chinese adults

Protocols	Adults with	Adults with-	P
	(n=57)	(n=161)	value
Age, y	57.58 ± 5.48	58.80 ± 5.51	0.15 ^a
Gender (F/M)	40/17	71/90	0.001 ^b
Education, y	6.42 ± 4.18	6.71 ± 3.56	0.62 ^a
BMI	24.35 ± 2.44	24.28 ± 2.82	0.88
Duration since trauma, m	57.35 ± 48.44	108.16±71.71	<
			0.001 ^a
CAPS_total	47.65 ± 12.84	16.14 ± 10.02	<0.001 ^a
HAMD	15.93 ± 6.61	5.86 ± 4.24	< 0.001 ^a
HAMA	12.65 ± 6.52	4.57 ± 3.45	< 0.001 ^a

Values are expressed as mean±standard deviation. PTSD, post-traumatic stress disorder; BMI, body mass index; CAPS, clinician-administered PTSD scale; HAMD, Hamilton Depression; HAMA, Hamilton Anxiety

 $^{\rm a}$ The $^{\rho}$ values for the difference between the two trauma-exposed groups was obtained by performing a two-sample t-test

 $^{\rm b}$ The $^{\rho}$ values for gender distribution between the two trauma-exposed groups was obtained by performing a chi-square test

and non-PTSD groups. Two sample t-test (two tailed, P<0.05) and chi square test were used to explore differences in demographic and scoring data.

For GM data, we used SPM12 for statistical analysis. A 2×3 full factorial model, with diagnosis and genotype (TT, CT, and CC) as independent variables, was used to evaluate morphological changes in GM. Age, gender, BMI, years of education, time duration since losing the only child and TIV were used as covariates to control for confounding variables. The 2×3 factorial designs were compared using the following t-tests: (a) diagnostic effect, for PTSD (TT, CT and CC) versus non-PTSD (TT, CT and CC); (b) SLC6A2 genotype effect, for TT genotype participants (PTSD and non-PTSD) versus CT genotype participants (PTSD and non-PTSD) versus CC genotype participants (PTSD and non-PTSD); (c) SLC6A2 genotype \times PTSD diagnosis interaction, diagnosis effects in TT genotype individuals versus CT genotype individuals versus CC genotype individuals. These analyses resulted in a statistical parameter map {SPM (F)} based on the voxel level height threshold of P<0.001. Gaussian random field (GRF) correction was performed on the resultant parameter map. The significance threshold was set at P<0.05 which corresponded to a voxel P<0.005 and a cluster level with P<0.05. A Pearson's correlation analysis was used to investigate the association between GMV showing significant effects (after ANOVA analysis) and diagnostic outcomes from the CAPS, HAMD, and HAMA assessments.

Results

Sample demographics

After removing individuals through exclusion criteria and genomic data availability, a total of 218 Han Chinese adults who lost their sole child were included for the subsequent analyses in our study. High-quality imaging data, neuropsychological test scores and *SLC6A2* genotype information have been collected for this group. The distribution of the *SLC6A2* genotypes was found to be in HWE ($\chi 2=3.229$, *P*>0.05). Demographic data and neuropsychological tests scores for our study participants are summarized in Tables 1 and 2.

VBM analysis

No significant main effects for diagnosis were found in this study. Significant *SLC6A2* genotype effects on GMV (P<0.05, GRF corrected) were found in the left superior parietal gyrus (L-SPG) and the bilateral middle cingulate gyrus (MCG) (Fig. 1). The *SLC6A2* CC genogroup had a significantly smaller GMV (P<0.05) in the left superior parietal gyrus than the other two genogroups. Furthermore, the *SLC6A2* CC genogroup also exhibited significantly larger GMV in the bilateral middle cingulate gyrus than the CT genogroup. No significant GMV differences in the bilateral middle cingulate gyrus were found between the *SLC6A2* CT and TT genotype participants (P>0.05, uncorrected).

Significant *SLC6A2* genotype-diagnosis interaction effects were found in the left superior frontal gyrus (L-SFG) (GRF corrected P<0.05) (Fig. 2). Within the

 Table 2
 Demographic data of SLC6A2 genotypic subgroups for epistatic effect analysis

Protocols	PTSD(n=57)			non-PTSD(n = 161)		
	TT(n=28)	CT(n = 19)	CC(n=10)	TT(n = 75)	CT(n = 66)	CC(n = 20)
Genotype frequency	49.1%	33.3%	17.6%	46.6%	41.0%	12.4%
Gender (F/M)	17/11	14/5	9/1	32/43	31/35	8/12
Age, y	59.25 ± 4.41	56.58 ± 6.10	54.8 ± 5.06	59.59 ± 5.43	58.41 ± 5.48	57.1 ± 5.27
Education, y	6.54 ± 3.77	6.16±4.51	6.6±4.41	6.28 ± 3.44	6.91 ± 3.54	7.65 ± 3.73
Duration since trauma, m	58.39 ± 42.84	68.84 ± 57.45	32.6 ± 29.43	111.52±71.67	110.59 ± 74.53	87.5 ± 55.36
CAPS_total	47 ± 13.29	46.32 ± 12.26	52 ± 10.91	15.89±9.77	16.38 ± 10.96	16.25 ± 6.92
HAMD	14.89 ± 6.43	15.58 ± 6.21	19.5 ± 6.31	5.62 ± 3.86	6.23 ± 4.61	5.53 ± 4.08
HAMA	13.07 ± 8.06	11.84 ± 4.96	13±2.79	4.73 ± 3.45	4.66±3.6	3.68 ± 2.58

Data are presented as mean ± standard deviation. PTSD, adults with PTSD; non-PTSD, adults without PTSD; BMI, body mass index; CAPS, clinician-administered PTSD scale; HAMD, Hamilton Depression Scale; HAMA, Hamilton Anxiety Scale



Fig. 1 Brain regions with a significant *SLC6A2* genotype effects on brain GMV (P < 0.05, GRF corrected). Color bars show *F* scores. Bar plots depict the mean values and standard error of GMV in each genotypic subgroup. The asterisks * represent significant GMV difference between genotypic subgroups (P < 0.05). GM, gray matter; L, left; R, right; SPG, superior parietal gyrus; MCG, middle cingulate gyrus

PTSD group, *SLC6A2* TT homozygous carriers had larger left superior frontal gyrus volumes than the CT and CC carriers (P<0.05); however, in the non-PTSD group, no significant differences were found among the three genogroups (P>0.05).

Correlation analysis

There was no significant correlation between brain areas showing a significant genotype main effect, diagnosis \times *SLC6A2* interaction, and CAPS, HAMA, or HAMD scores.

Discussion

In the study, we researched the effects of PTSD diagnosis, SLC6A2 polymorphism and PTSD diagnosis \times SLC6A2 genotype interaction on GMV in Han Chinese adults who lost their sole child. We found the main effect of SLC6A2 polymorphism on superior parietal gyrus (SPG) and middle cingulate gyrus (MCG) volumes, and the interaction effect of PTSD diagnosis \times SLC6A2 genotype on superior frontal gyrus (SFG) volume.

In our study, we did not find the main effect of PTSD diagnosis; which is to say that there was no significant difference in GMV between the PTSD group and the non-PTSD group. The variability in structural findings across PTSD studies could arise from differences within the sample population with regard to trauma types [28]. This



Fig. 2 Brain regions with a significant *SLC6A2* genotype × diagnosis interaction on brain GMV (P < 0.05, GRF corrected). Color bars show *F* scores. Bar plots depict the mean values and standard error of GMV in each genotypic subgroup. The asterisks * represent significant GMV difference between genotypic subgroups (P < 0.05). GM, gray matter; L, left; R, right; SFG, superior frontal gyrus

is in alignment with previous studies, which also found no significant brain volume changes between PTSD and non-PTSD adults who lost their sole child [29–31].

One main finding in this study was the effect of the SLC6A2 genotype on regional GMV. We found a pattern showing that individuals who possess more C alleles in their SLC6A2 genotype have decreased GMV at their L-SPG region. In other words, the three genogroups show the following trend for GMV at L-SPG (in descending order): TT genotype>CT genotype>CC genotype. SPG is an important region involved in the integration of somatosensory and visual space perception, and plays an additional role in attention, written language and working memory [32]. Previous studies have shown that the C allele in the SLC6A2 gene is associated with higher NET transcriptional activity and lower NE levels in the synaptic cleft. This is due to the C allele's ability to enhance transcriptional activity of the SLC6A2 gene by inactivating the repressor element in the promoter [33]. Low levels of NE can impair neuronal differentiation because NE induces the expression of brain-derived neurotrophic factor, the most common growth factor of the central nervous system [34, 35]. For this reason, we speculate that the decrease of NE level due to active re-uptake of NE in SLC6A2 CT and CC genotype participants is related to the decrease of SPG volume. Meanwhile for MCG, this linear relationship between brain volume and number of risk C alleles was not detected in this study. An inverted U-shaped regulatory effect that catecholamines, including dopamine and NE, has a cognitive function in attention deficit hyperactivity disorder patients has been proposed in previous studies [36]. Thus, we speculate that, unlike SPG, the cingulate gyrus may be subject to the nonlinear regulatory effect of NE. However, this conjecture needs to be verified in future researches.

Another interesting finding in this study was the interaction effect of diagnosis \times *SLC6A2* on the brain volumes. Specifically, PTSD patients with the SLC6A2 TT genotype had a greater GMV of L-SFG compared with PTSD patients with the CT genotype or CC genotype. The SFG, including the dorsolateral prefrontal cortex, is an important brain region. It is generally considered to be the core area of advanced cognitive function, including attention, working memory, cognitive control, motivational behavior and emotional regulation [37]. Studies have shown that GMV reduction in frontal lobe regions, including the SFG, is common in patients with PTSD [38-40]. Furthermore, this reduction in volume is potentially associated with the sustained vigilance and alertness associated with PTSD [39]. The NE system's main function involves regulating alertness, while it also projects to various cortical regions including the frontal lobe [36]. Therefore, we reason that the differences in SLC6A2 gene expression could have an influence on the volume of frontal gray matter in patients with PTSD, through affecting the amount of NE production and leading to PTSD-related alertness symptoms. Chronic stress has been shown to up-regulate the expression of SLC6A2 gene in the locus coeruleus, hippocampus, frontal cortex and amygdala, and significantly increases NET protein levels [41]. This forms the basis of our speculation that SNP differences in the SLC6A2 gene may be an important underlying factor behind the pathophysiological mechanisms for the occurrence and progression of PTSD. However, in patients with PTSD, we did not find a correlation between the severity of PTSD symptoms and SLC6A2 genotypes, so further research is warranted to investigate this interpretation.

Interestingly, we observed differences only in the left SPG and SFG, not in the right. A possible explanation for these results is that the SLC6A2 gene may have a nonuniform regulation of gray matter volume across the cortex. Our observation may potentially be corroborated by findings from others: Meyer et al. found that statedependent changes in frontal asymmetry could serve as a biological marker of PTSD symptoms [42]. Luo et al. also found that the hippocampal volume deficits showed patterns of laterality; the left side was affected more than the right in PTSD patients [43]. In summary, further research is needed to investigate this potential laterality in the regulation of PTSD gray matter volume by SLC6A2 genes.

Our investigation has several limitations. First, since our study was a small survey research and the participants were difficult to obtain, the sex ratio between groups was unbalanced. We may need a larger sample size with a balanced sex ratio of PTSD patients who lost their only child to verify our results in the future. What's more, some other structural measurements like surface thickness or structural covariance, should also be explored in the future researches. Second, the findings from this study should be considered provisional and preliminary. The implications should be interpreted with caution, until they can be replicated in larger samples or validated by GWAS findings on PTSD. Third, since our research focuses on the specific subpopulation of Chinese adults who have lost their sole child, additional discretion should be employed when choosing to generalize these results to other population groups. Forth, in addition to SLC6A2, there may be other genetic or environmental factors that affect the brain norepinephrine system and the SPG volume of PTSD patients, that are not currently within the scope of our hypothesis.

Future large-scale work would benefit from employing designs with a more balanced gender ratio, recruiting PTSD patients who experienced other types of stressors, and incorporating possible environmental factors. These may contribute to a more comprehensive exploration or verification of the impact of SLC6A2 polymorphism on GMV in PTSD patients.

Conclusions

In this study, we found that rs2242446 SNPs of the SLC6A2 gene regulates the association between PTSD diagnosis and gray matter volume in the superior frontal gyrus. This may help improve the current understanding of the key role that the SLC6A2 gene plays in the pathogenesis of PTSD after experiencing the loss of a sole child.

Supplementary Information

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Supplementary Material 1

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Author contributions

Z.X and Z.C: manuscript writing. G.L and R.Q: experiment design. L.Q and Z.X: image acquisition. L.Z, LJZ and L.L: participant recruitment. W.S, Y.L, Q.X and C.Y: image processing and statistical analyses. All authors read and approved the final manuscript.

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Data Availability

The datasets used and/or analysed during the current study available from the corresponding author on reasonable request.

Declarations

Ethical approval and consent to participate

Institutional Review Board approval was obtained. This study was approved by the Ethics Committee of Jiangsu University. Written informed consent was obtained from all participants in this study, in keeping with the Declaration of Helsinki.

Consent for publication

Not applicable.

Conflict of interest

All authors declare no competing interests, no biomedical financial interests, or potential conflicts of interest.

Competing interests

The authors declare no competing interests.

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