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Low prevalence of lipid metabolism abnormalities in *APOE* £2-genotype and male patients 60 years or older with schizophrenia

Chunxia Ban^{1,2}, Qunying Zhang³, Jie Feng², Huijuan Li², Qi Qiu¹, Yuan Tian^{2*} and Xia Li^{1*}

Abstract

Background: Schizophrenia is a serious mental disorder largely manageable with atypical antipsychotics; however, these drugs have been associated with glucose/lipid metabolism issues such as diabetes and hyperlipidaemia. Apolipoprotein E (*APOE*) is the most abundant apolipoprotein, and *APOE* genotypes have been correlated with lipid metabolism phenotypes in an age-dependent manner. Studies examining the relationship between the *APOE* genotype and lipid abnormalities in patients with schizophrenia have been inconclusive, but primarily focused on adult patient populations. Therefore, we explored the correlations between the *APOE* genotype and glucose/lipid metabolism indicators and abnormalities in hospitalized patients 60 years or older with schizophrenia with a history of long-term antipsychotics use.

Methods: We assessed *APOE* genotype, age, weight, height, blood glucose, triglycerides, cholesterol, high-density lipoprotein, and low-density lipoprotein in a total of 294 patients. *APOE* genotypes were divided into three groups: $APOE \ \epsilon 2 \ (\epsilon 2/\epsilon 2 \ \text{and} \ \epsilon 2/\epsilon 3)$, $APOE \ \epsilon 3 \ (\epsilon 3/\epsilon 3)$, and $APOE \ \epsilon 4 \ (\epsilon 3/\epsilon 4 \ \text{and} \ \epsilon 4/\epsilon 4)$, and comparisons were conducted among these groups or according to $\epsilon 2 \ \text{carrier}$ status.

Results: $APOE \ \epsilon 3/\epsilon 3$ was the most common genotype (68.3%) and at least one $\epsilon 3$ allele was present in 81.8% of patients. There were no differences in antipsychotics type or dose according to the APOE genotype, but serum cholesterol values varied near significantly (P=0.052) and low-density lipoprotein values varied significantly according to genotype (P<0.05, lowest in the $APOE \ \epsilon 2$ genotype). Men had lower cholesterol and low-density lipoprotein levels (P<0.05) than women. Compared to patients administered typical antipsychotics, those administered atypical antipsychotics had higher triglyceride, cholesterol, and low-density lipoprotein levels (P<0.05). Stepwise linear regressions showed that cholesterol and low-density lipoprotein levels were influenced by sex, the $APOE \ \epsilon 2$ genotype, and atypical antipsychotics use.

Conclusions: In the context of atypical antipsychotics use, carriers of the *APOE* ϵ 2-genotype and male patients with schizophrenia 60 years or older may be less likely to develop a lipid metabolism abnormality.

Keywords: APOE, Elderly schizophrenia, Glucose, Cholesterol, LDL, HDL, Triglycerides

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Background

Schizophrenia is a severe mental illness that has a lifetime risk of about 1% [1]. The prognosis for schizophrenia is typically poor with a low recovery rate [2]. Schizophrenia imposes burdens on not only the affected individuals but also their families as well as society. Accordingly, it is a serious public health problem and social issue [3].

The main clinical manifestations of schizophrenia include positive symptoms, negative symptoms, and cognitive impairment [4, 5]. Atypical antipsychotics have good efficacy for these three symptom domains and produce fewer extrapyramidal reactions than typical antipsychotics. Yet, the ability of these drugs to cause weight gain, hyperglycaemia, and hyperlipidaemia in patients has garnered significant attention [6-8]. These side effects impact patient medication adherence and limit the treatment options available to physicians for patient management. Even so, not all patients who are administered atypical antipsychotics develop a glucose/lipid metabolic disorder. The reasons for this have yet to be clarified. It is important to explore and understand the risk factors and protective factors for schizophrenia treatment so that clinicians can make more informed and accurate treatment choices.

Apolipoprotein E (APOE) is the most abundant apolipoprotein [9, 10]. It has six genotypes ($\varepsilon 2/\varepsilon 2$, $\varepsilon 2/\varepsilon 3$, $\varepsilon 3/\varepsilon 3$, $\varepsilon/\varepsilon 4$, $\varepsilon 3/\varepsilon 4$, $\varepsilon 4/\varepsilon 4$) originating from three different alleles ($\varepsilon 2$, $\varepsilon 3$, $\varepsilon 4$) [11]. Some researchers have found that the APOE genotype is related to the manifestation of glucose/lipid metabolic abnormalities in patients without schizophrenia [12-14]. However, the relationships among APOE gene polymorphisms, blood sugar, and blood lipid content are not fully understood. This may be due to the age-dependent influence of APOE genotype on glucose/lipid metabolism [15, 16], as most previous studies do not account for the potential effects of age [13]. Most studies of patients with schizophrenia have been conducted with subjects who were mainly under the age of 60 years. These studies have explored the relationship between the APOE genotype and susceptibility to schizophrenia, but not that between the APOE genotype and metabolic syndrome [17, 18]. Elderly individuals are more likely to develop glucose/lipid metabolic abnormalities than their younger counterparts [19-21]. Thus, we conducted a study on Han Chinese elderly patients (60 years or older) with schizophrenia and long-term history of antipsychotics use. We evaluated the APOE genotype, blood sugar, blood lipids, and other related indicators to explore the influence of APOE polymorphisms on lipid and glucose metabolism in hospitalized patients 60 years or older with schizophrenia.

Methods

Study design and participants

The study was conducted at three mental health centres in Shanghai, China (the Shanghai Mental Health Center, the Mental Health Center of Jiading District in Shanghai, and the Mental Health Center of Fengxian District in Shanghai) between July 1, 2015 and December 31, 2015 (Fig. 1). Information regarding sex, age, height, weight, body mass index (BMI), and current prescribed medicines was recorded for all patients. Obesity was classified according to the health of the People's Republic of China industry standard WS/T 428-2013, which defines overweight as a BMI ≥ 24.0. Schizophrenia was diagnosed by a senior psychiatrist according to the International Classification of Diseases 10 diagnostic standard. Diabetes was previously diagnosed by an endocrinologist according to the World Health Organization 1999 criteria [22]. High blood pressure was defined as high average measured blood pressure (systolic blood pressure ≥ 140 mmHg or diastolic blood pressure ≥ 90 mmHg) or previous diagnosis by a clinical specialist.

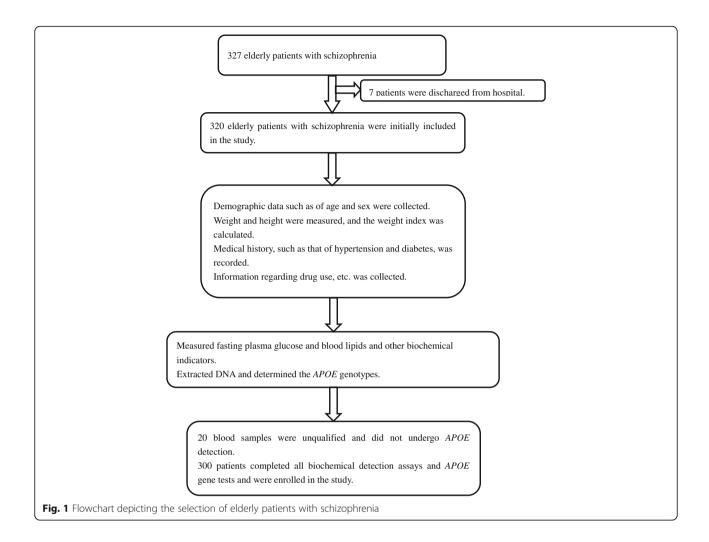
Measurements of blood sugar and blood lipids

Research subjects fasted from 20:00 in the evening prior to blood sampling and were prohibited from drinking water for 4 h prior to blood sampling. A total of 5 mL of venous blood was collected from each patient in the fasting state between 06:30 and 08:30. Samples were incubated at room temperature for 30 min and then centrifuged at 3000 rpm for 15 min to collect the serum. All samples were tested for serum glucose, triglyceride content, cholesterol content, high-density lipoprotein, and low-density lipoprotein.

APOE genotyping by polymerase chain reaction (PCR)-ligase detection reaction (LDR)

A total of 5 mL of peripheral blood was slowly injected into an EDTA-coated anticoagulant tube, shaken, and then centrifuged at 3000 rpm for 20 min at 4 °C. DNA in 1 mL of blood was extracted with a whole blood genome DNA isolation kit (spin column, Tiangen Biochemical Science and Technology Co., Ltd., Beijing, China).

Multiplex PCR reactions on the basis of the two SNP cores of the *APOE* gene were conducted using the rs429358 and rs7412 design primers as follows: P1:5′-GCCTACAAATCGGAACTGGA CAGCTCCTCGGTG CTCTG-3′ and P2:5′-TAAGCGGCTCCTCCGCGATGC CCCGGCCTGGTACACTG-3′. The total reaction volume was 20 μL and included the following: 1 μL (50 ng) genomic DNA, 2 μL 1e reaction buffer, 0.6 μL 3 mM Mg²+, 2 μL of 2 mM of each dNTP, 0.2 μL 1 U Taq enzyme, 12.2 μL double-distilled H₂O (ddH₂O), and 2 μL 0.5p Primer Mix. PCR products were examined using 3.0% agarose gel electrophoresis.



Details of the multiplex LDR reaction can be found in Table 1. The reaction volume was 10 μ L and included the following: 1 μ L reaction buffer, 1 μ L 2 pmol/ μ L Probe Mix (each), 0.05 μ L 2 U Taq DNA ligase, 4 μ L ddH₂O, and 4 μ L PCR product. Finally, *APOE* genotypes were assigned according to product fragment sizes on 3.0% agarose gel electrophoresis (Table 2). All genotyping was performed in duplicate.

Data analysis

All data were inputted using the EpiData3.1 software and analysed with the SPSS 17.0 software package (SPSS Inc., Chicago, IL). *APOE* genotypes were calculated by alignment inspection according to the Hardy–Weinberg law. Continuous data (age, chlorpromazine equivalent dose, triglycerides, cholesterol, high-density lipoprotein, low-density lipoprotein, and fasting plasma glucose) are represented with descriptive statistics as means ±

Table 1 Reaction probes used in the multiplex ligase detection reaction

PROBE NAME	OBE NAME SEQUENCE (5'-3')	
rs429358_modify	P-CACGTCCTCCATGTCCGCGCTTTTTTTTTTTTTTTTTTT	
rs429358_C	TTTTTTTTTTTTTTTCGGTACTGCACCAGGCGGCCGCG	92
rs429358_T	TTTTTTTTTTTTTTTTCGGTACTGCACCAGGCGGCCGCA	94
rs7412_modify	P-CTTCTGCAGGTCATCGGCATTTTTTTTTTTTTTTTTTTT	
rs7412_C	TTTTTTTTTTTTTTTTCCGGCCTGGTACACTGCCAGGCG	97
rs7412_T	TTTTTTTTTTTTTTTTTTCCGGCCTGGTACACTGCCAGGCA	99

Note: LDR ligase detection reaction

Table 2 APOE genotype determination method

APOE genotype	Rs429358	Rs7412
ε2/ε2	П	ТТ
ε2/ε3	П	TC
ε3/ε3	П	CC
ε2/ε4	TC	TC
ε3/ε4	TC	CC
ε4/ε4	CC	CC

standard deviation. Categorical data (sex, overweight status, diabetes, high blood pressure, atypical antipsychotics use, lipid-lowering medication use, and glucose-lowering medication use) are represented as percentages of the total population. APOE genotypes were divided according to three categories: APOE $\varepsilon 2$ ($\varepsilon 2/\varepsilon 2$ and $\varepsilon 2/\varepsilon 3$), APOE $\varepsilon 3$ ($\varepsilon 3/\varepsilon 3$), and APOE $\varepsilon 4$ ($\varepsilon 3/\varepsilon 4$ and $\varepsilon 4/\varepsilon 4$). Patients with the ε2/ε4 genotype were excluded from analysis because of known opposite effects of $\varepsilon 2$ and $\varepsilon 4$ on lipid levels. Inpatients who were ε2 carriers or non-ε2 carriers were labelled as APOE ε2+ or APOE ε2-, respectively. Continuous data were analysed between two groups using independent sample t tests and among three groups using a one-way analysis of variance. Categorical data were analysed using chi-squared (χ^2) tests; however, atypical antipsychotics use and lipid-lowering medication use were analysed using Fisher's exact test. Low-density lipoprotein was examined with pairwise comparisons using Hochberg's GT2(H) method, while cholesterol was examined with pairwise comparisons using the Games-Howell(A) method. Finally, a regression analysis was performed on cholesterol, low-density lipoprotein, and potential influencing factors. The significance level was set at P < 0.05.

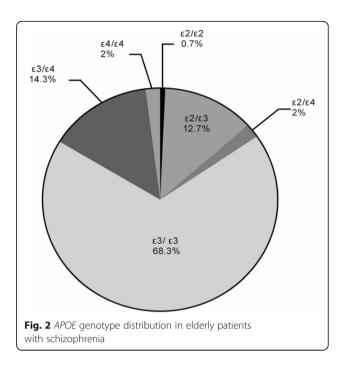
Results

Demographic characteristics and APOE genotype distribution

All patients were of Han Chinese descent. There were 160 men (53.3%) and 140 women (46.7%). The mean age was 67.3 \pm 6.66 years (range, 60–92 years). Two of the participants had the $\varepsilon 2/\varepsilon 2$ *APOE* genotype, 38 participants were $\varepsilon 2/\varepsilon 3$, 6 were $\varepsilon 2/\varepsilon 4$, 205 participants (were $\varepsilon 3/\varepsilon 3$, 43 were $\varepsilon 3/\varepsilon 4$, and 6 were $\varepsilon 4/\varepsilon 4$; Fig. 2). According to the Hardy-Weinberg law, there was $\chi^2 = 243.79$, df = 3, and P > 0.05. This indicates that the distribution of the genotype was in accordance with Hardy-Weinberg equilibrium. The allele frequencies were as follows: $\varepsilon 2 = 48$ (8.0%), $\varepsilon 3 = 491$ (81.8%), and $\varepsilon 4 = 61$ (10.2%).

Comparison of clinical characteristics among the *APOE* genotype groups

Statistically significant differences were observed for lipid-lowering medication use and low-density



lipoprotein according to the APOE genotype (P < 0.05). Near statistically significant differences were observed for cholesterol according to the APOE genotype (P = 0.052). There were no statistically significant differences in sex, age, high blood pressure, diabetes, glucoselowering medication use, atypical antipsychotics use, plasma glucose, triglycerides, or high-density lipoprotein according to genotype (P > 0.05) (Table 3).

Comparisons of blood sugar, blood lipids, and other related indicators according to *APOE* genotype, ε2 allele carrier status, sex, and drug use

With lipid-lowering medication used as a covariate, cholesterol was significantly lower in elderly patients with schizophrenia with the APOE ε2 genotype than in those with the APOE ε 3 and APOE ε 4 genotypes (P < 0.05). No statistically significant differences were identified between the APOE ε 3 and APOE ε 4 genotypes (P > 0.05) (Fig. 3a). Low-density lipoprotein was also lower in patients with the APOE ε 2 genotype than in those with the APOE ε 3 and APOE ε 4 genotypes (P < 0.01). No statistically significant differences were identified between the APOE ε 3 and APOE ε 4 genotypes (P > 0.05) (Fig. 3b). Cholesterol and low-density lipoprotein were lower in ε2 allele carriers with APOE $\varepsilon 2+$ genotypes than in those with APOE ε 2- genotypes (independent sample t tests, P < 0.05). No statistically significant differences were identified for triglycerides, plasma glucose, or high-density lipoprotein in $\varepsilon 2$ allele carriers (P > 0.05) (Figs. 3c-g).

Women had higher cholesterol levels $(5.02 \pm 1.10 \text{ vs.} 4.47 \pm 0.90 \text{ nmol/L}, P < 0.01)$ and higher low-density lipoprotein levels $(2.88 \pm 0.81 \text{ vs.} 2.66 \pm 0.77 \text{ nmol/L}, P < 0.01)$

Table 3 Comparison of clinical characteristics according to APOE genotype in elderly patients with schizophrenia

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Item		APOE $\varepsilon 2(n = 40)$	APOE $\epsilon 3(n = 205)$	APOE $\varepsilon 4(n = 49)$	x²/F	P-value
Men (%)		23 (57.5%)	109 (53.2%)	26 (53.1%)	0.263	0.877
Age (years)		67.65 ± 7.17	67.10 ± 6.54	68.00 ± 6.58	0.422	0.656
Overweight status (%)		18 (45.0%)	98 (47.8%)	21 (42.9%)	0.437	0.804
History of disease	Diabetes (%)	11 (27.5%)	52 (25.4%)	12 (24.5%)	0.112	0.945
	High blood pressure (%)	11 (27.5%)	78 (38.0%)	19 (38.8%)	1.708	0.426
Drug use*	Atypical antipsychotic use (%)	36 (90.0%)	179 (87.3%)	45 (91.8%)		0.751#
	Chlorpromazine equivalent dose (mg)	322.88 ± 320.06	318.52 ± 254.79	361.98 ± 371.20	0.459	0.490
	Lipid-lowering mediation use (%)	1 (2.5%)	6 (2.9%)	7 (14.3%)		0.006#
	Glucose-lowering medication use (%)	9 (22.5%)	43 (21.0%)	12 (24.5%)	0.296	0.863
Serum biochemical indices&	Triglycerides (mmol/L)	1.35 ± 0.81	1.38 ± 0.84	1.43 ± 0.80	0.062	0.940
	Cholesterol (mmol/L)	4.38 ± 0.91	4.74 ± 0.91	4.94 ± 1.47	2.994	0.052
	High-density lipoprotein (mmol/L)	1.36 ± 0.35	1.29 ± 0.41	1.25 ± 0.45	0.812	0.445
	Low-density lipoprotein (mmol/L)	2.34 ± 0.63	2.83 ± 0.75	2.85 ± 0.98	7.038	0.001
	Plasma glucose (mmol/L)	5.58 ± 1.40	5.50 ± 1.49	5.27 ± 0.89	1.198	0.303

Note: APOE genotypes were divided into three groups: an APOE ε2 group (ε2/ε2 and ε2/ε3), an APOE ε3 group (ε3/ε3), and an APOE ε4 group (ε3/ε4 and ε4/ε4) #: Fisher's Exact Test

0.05) than men. Compared to patients administered typical antipsychotics, those administered atypical antipsychotics had higher triglyceride levels (1.43 \pm 0.86 vs. 1.09 \pm 0.43 nmol/L, P < 0.01), higher cholesterol levels (4.78 \pm 1.05 vs. 4.33 \pm 0.85 nmol/L, P < 0.05), and higher low-density lipoprotein levels (2.80 \pm 0.80 vs. 2.46 \pm 0.72 nmol/L, P < 0.05).

Regression analysis of abnormal cholesterol and low-density lipoprotein in elderly patients with schizophrenia Stepwise linear regressions were conducted with cholesterol, low density lipoprotein as the dependent variable and age, sex (0 = women, 1 = men), overweight status (0 = no, 1 = yes), APOE $\epsilon 2$ genotype (0 = no, 1 = yes), APOE $\epsilon 3$ genotype (0 = no, 1 = yes), APOE $\epsilon 4$ genotype (0 = no, 1 = yes), atypical antipsychotic drug use (0 = no, 1 = yes), diabetes (0 = no, 1 = yes), high blood pressure (0 = no, 1 = yes) as independent variables. The results showed that cholesterol and low-density lipoprotein were influenced by sex, APOE $\epsilon 2$ genotype, and atypical antipsychotics use (Table 4).

Discussion

Davignon et al. [23] found that APOE polymorphisms are important genetic factors influencing blood lipids, such as cholesterol. One study found that the presence of the APOE $\epsilon 4$ allele was significantly correlated with high serum cholesterol and low-density lipoprotein. $\epsilon 2$ and $\epsilon 4$ have opposite effects on lipid levels. $\epsilon 4$ allele carriers had the highest cholesterol and low-density

lipoprotein values, followed by carriers of the $\epsilon 3$ allele, with $\epsilon 2$ allele carriers having the lowest values [24]. It has also been suggested that the presence of the $\epsilon 2$ allele reduces low-density lipoprotein [25] and that the $\epsilon 4$ allele increases low-density lipoprotein [26]. These findings are in agreement with our results in elderly patients with schizophrenia; serum cholesterol and low-density lipoprotein values were significantly lower in patients with the $\epsilon 2$ allele, while they were significantly higher in patients with the $\epsilon 4$ allele. Taken together, these data show that the effects of the APOE genotype on serum blood lipids are consistent between patients with schizophrenia and healthy subjects.

It is worth noting that the elderly patients with schizophrenia in this study had specifically been administered atypical antipsychotic drugs for years. In this context, \(\epsilon\) carriers had more healthy cholesterol and low-density lipoprotein values than ε3 or ε4 carriers. These data suggest that ε2 carrier status is a protective factor against lipid metabolism abnormalities. This hypothesis was corroborated by a regression analysis. Therefore, we deduced that even after being administered atypical antipsychotics for a long time, elderly APOE ε2 carriers with schizophrenia may have a lower occurrence of lipid metabolism abnormalities than ε2 non-carriers. This is reflected in the fact that the \(\epsilon\) carriers had the lowest rate of lipid-lowering drug consumption. Further investigations are required to explore whether the $\varepsilon 2$ genotype can protect patients from metabolic problems during the initial years of antipsychotics treatment.

^{*:} Different antipsychotics were converted to chlorpromazine equivalent doses

[&]amp;: Plasma glucose was compared among the three groups with glucose-lowering medication use as a covariate. Serum lipids were compared among the three groups with lipid-lowering medication use as a covariate

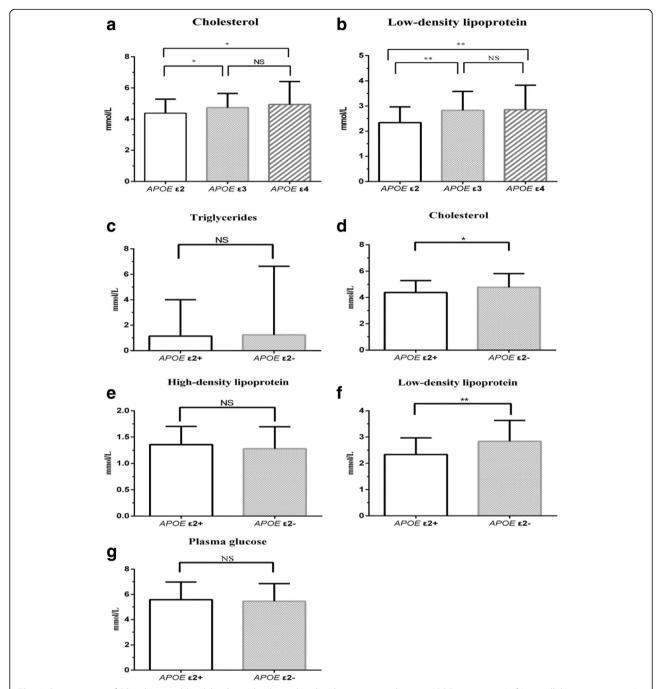


Fig. 3 Comparisons of blood sugar, blood lipids, and other related indicators according to *APOE* genotype, (**a-b**), ε2 allele carrier status (**c-g**) in elderly patients with schizophrenia. Note: *APOE* ε2+ indicates carrier status for the ε2 allele and *APOE* ε2- indicates non-carrier status for the ε2 allele. * indicates P < 0.05, ** indicates P < 0.01, and NS indicates non-significant, P > 0.05

Studies have reported sex differences in the clinical features of schizophrenia [27]. Moreover, it has been reported that antipsychotic drugs exert sex-specific influences on blood lipids (i.e., larger effects on women). Consistent with this research, we found that female elderly patients with schizophrenia had higher serum lipid levels and were more likely to have lipid metabolism abnormalities than men.

At present, antipsychotic drugs are the first-line therapy for the treatment of schizophrenia. Among them, atypical antipsychotics are common but can cause weight gain as well as greatly increase a patient's risk of developing diabetes and other metabolic syndromes [28, 29]. Moreover, the wide application of atypical antipsychotics has revealed that these drugs have a larger influence on blood

Table 4 Regression analysis of abnormal cholesterol and low-density lipoprotein in elderly patients with schizophrenia

Variables		Regression coefficients	Standardized regression coefficients	t-value	P-value
Dependent variable	Independent variables				
Total cholesterol ^a	Male sex	-0.533	-2.058	-4.626	0.000
	APOEɛ2+	-0.382	-0.127	-2.279	0.023
	Atypical antipsychotic use	0.409	0.127	2.273	0.024
Low-density lipoprotein ^b	Male sex	-0.200	-0.126	-2.227	0.027
	APOEɛ2+	-0.493	-0.213	-3.783	0.000
	Atypical antipsychotic use	0.336	0.136	2.404	0.017

Note: ^aCoefficient of determination $R^2 = 0.104$, F = 11.181; ^bCoefficient of Determination $R^2 = 0.082$, F = 8.631

lipids than typical antipsychotics [30–32]. In the present study, we found similar results and confirmed this effect of atypical antipsychotics use.

The correlation between the APOE genotype and triglycerides has been inconsistently reported in previous studies [23, 33]. A study with elderly Brazilian women found that triglyceride values were higher in $\epsilon 2$ carriers than in $\epsilon 4$ carriers [34]. In contrast, no obvious relationship between APOE status and serum triglycerides was reported in a study with patients with metabolic syndrome [35], which is in line with our findings. Moreover, the lack of correlation between high density lipoprotein and the APOE genotype that we noted in this study is consistent with other results [36].

In a previous study with a community sample of elderly Han Chinese individuals, we showed a potential association between the APOE $\epsilon 3/\epsilon 3$ genotype and increased susceptibility to diabetes [13]. However, this study showed that there were no statistical differences among the three genotype groups. We consider that these results require confirmation in a larger community sample, as the use of a hospitalized sample in the present study may have influenced our results.

A major factor limiting the generalizability of this study was our selection of hospitalized elderly patients with schizophrenia; specifically, the inclusion of longterm hospitalized patients with a relatively fixed diet and regular blood glucose monitoring. The influence of hospital environmental factors on glucose/lipid metabolism cannot be completely discounted, and accordingly our results should be validated in a community sample of elderly patients with schizophrenia. Moreover, we did not include patients who were abstinent from antipsychotic medication or non-elderly patients as control subjects. Therefore, this study does not inform us regarding the effects of specific antipsychotic drugs or those of age on glucose/lipid metabolism. In addition, the study results may be subject to survival bias. We thus could not assess the effects of the APOE genotype on the lifespan of elderly patients. Future studies should address these limitations.

Conclusion

Our study showed that the APOE $\epsilon 2$ allele and male sex are possible protective factors against blood lipid abnormalities in the context of atypical antipsychotic use in patients with schizophrenia 60 years or older. This finding informs the relationship between APOE genotype status and glucose/lipid metabolism in patients with schizophrenia of Han ethnicity (and possibly other Asian ethnicities), and can assist the development of a more personalized approach to schizophrenia treatment selection.

Abbreviations

APOE: Apolipoprotein E; BMI: Body mass index; LDR: Ligase detection reaction

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Availability of data and materials

No competing interests. Data available on request.

Authors' contributions

XL designed the study and wrote the protocol. CB and XL managed the literature searches and analyses. CB undertook the statistical analysis. CB and QZ wrote the first draft and final manuscript. CB, QZ, JF, HL, and QQ collected information. XL and YT was responsible for the coordination work. All authors read and approved the final version of the manuscript.

Ethics approval and consent to participate

This study was approved by the ethics committee of Shanghai Mental Health Center. Written informed consent was provided by all participants.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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