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# Findings from a pilot open-label trial of N-acetylcysteine for the treatment of pediatric mania and hypomania

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#### **Abstract**

**Background:** Pediatric bipolar disorder is a highly prevalent and morbid disorder and is considered a prevalent public health concern. Currently approved treatments often pose the risk of serious side effects. Therefore, this study assessed the efficacy and tolerability of N-acetylcysteine (NAC), in children and adolescents with bipolar spectrum disorder.

**Methods:** We conducted a 12-week open-label trial of NAC for treatment of mania and hypomania in children and adolescents ages 5-17 with bipolar spectrum disorder including participants with full and subthreshold manic symptoms, accepting those with and without mixed states with co-occurring depression, and Young Mania Rating Scale scores  $\geq 20$  and  $< \underline{40}$ . Symptoms of mania and depression were assessed using the Young Mania Rating Scale (YMRS), Hamilton Depression Rating Scale (HDRS), Children's Depression Rating Scale (CDRS), and Clinical Global Impression (CGI) Severity (CGI-S) and Improvement (CGI-I) scales for mania and depression.

**Results:** This study had a high drop-out rate with only 53% completing all 12 weeks. There was a significant reduction in YMRS, HDRS, and CDRS mean scores from baseline to endpoint. Of the 24 exposed participants, 54% had an anti-manic response measured by a reduction in YMRS  $\geq$  30% and 46% had a CGI-I mania score  $\leq$  2 at endpoint. Additionally, 62% of participants had an anti-depressive response measured by a reduction in HDRS  $\geq$  30%, 31% had an anti-depressive response measured by a reduction in CDRS  $\geq$  30%, and 38% had a CGI-I depression score  $\leq$  2 at endpoint.

**Conclusions:** These pilot open-label findings in a small sample provide preliminary data supporting the tolerability and safety of NAC in a pediatric population. The findings of this pilot scale study indicating improvement in mania and depression are promising, but require replication with a monotherapy randomized placebo controlled clinical trial and larger sample.

**Trial Registration:** ClinicalTrials.gov Identifier: NCT02357290. First Registration 06/02/2015.

**Keywords:** Bipolar spectrum disorder, Child, N-acetylcysteine

### Background

Due to its high prevalence of 1–3% in national and international samples and morbidity, pediatric bipolar (BP) disorder represents a serious public health concern [1–4]. Pediatric BP disorder is commonly characterized by high levels of severe irritability and concurrent features of

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both mania and depression, which may complicate the diagnosis and treatment of youth with this disorder. Children with BP disorder also have high rates of psychiatric comorbidity with attention-deficit/hyperactivity disorder (ADHD), anxiety disorders, conduct disorder, oppositional defiant disorder (ODD), substance use disorders, and disruptive behavior disorders; high rates of psychiatric hospitalization; and need for special education services [5–9]. Pediatric BP disorder is similarly morbid when present in subsyndromal or subthreshold forms [10, 11]. It is also a well-documented risk for suicide, a leading cause of mortality in the young [12, 13]. Additionally, because of the severity of their aggressive behavior, youth affected with BP disorder are often diverted to the juvenile criminal justice system [14–16].

Pediatric BP disorder is persistent. Three follow-up studies indicate that pediatric onset BP disorder persists or worsens over time, with subthreshold cases switching to full threshold status during follow-up periods and youth spending the majority of time in manic, depressed and mixed mood states. Geller et al. demonstrated that the frequency of manic episodes in grown-up subjects with pediatric BP-I was 13 to 44 times higher than population prevalences, strongly supporting continuity of childhood BP into adulthood [17]. In the Course and Outcome of Bipolar Youth (COBY) study 25% of BP-II and 38% of BP NOS pediatric participants converted to BP-I during the follow-up period, and subjects were symptomatic on average for 60% of the follow-up period [18]. When attending to types of remission, few pediatric subjects experience full syndromatic remission, and even fewer achieve functional remission [19].

While the pathophysiology of pediatric BP disorder remains elusive, emerging evidence points to potential neurolobiologic underpinnings, such as abnormalities of glutamate [20] and white matter abnormalities the cingulum bundle areas [21]. Familiality of pediatric BP has been firmly established in large family studies [22]. A genome wide association study found shared genetic risks between ADHD and early pediatric BPD and concluded that there may be different genetic mechanisms involved in early and later BPD onset [23].

As the emotional dysregulation of pediatric bipolar disorder is highly morbid, not intervening is usually not an option. In addition both early onset illness and delay to first treatment are independent risk factors for increased morbidity in adulthood, so properly diagnosing and treating children with BP in the early stages of illness is critical [24]. Yet treating children with BP disorder is challenging. Children with BP disorder are frequently treated with a variety of medications [25, 26]. As many as 50% of adolescents with mania require augmentation with more than one agent [25, 27]. Unfortunately,

none of the current conventional treatments (commonly, anti-psychotics, lithium and anti-convulsants) can claim a high level of efficacy combined with easy tolerability in children. Mood stabilizers, such as lithium, have been the mainstay of pharmacotherapy for adults with BP disorder, but have showed only minimal effectiveness in children with BP disorder [28, 29]. Clinical trials of anticonvulsants and lithium in youth are marked by high drop-out, need for rescue medications and disappointing efficacy [30]. In a large retrospective Medicaid study of almost 7500 adolescents, patients started on valproate, oxcarbazepine and lithium frequently required augmentation with additional agents, had high discontinuation rates and had elevated risk of hospitalization relative to adolescents started on Second Generation Antipsychotics (SGAs) [28]. SGAs demonstrate significant reduction in manic symptoms and rapid onset of effect through the lifecycle [26, 28, 31]. While several newer atypical antipsychotic agents have received U.S. Food and Drug Administration (FDA) approval for the treatment of pediatric BP disorder, their use is also often fraught with significant and serious side effects including weight gain, dyslipidemia, glycemic dyscontrol, and risk for tardive dyskinesia [26].

Adverse effects and non-compliance in general are significant problems in the management of emotional regulation especially in pediatric populations. The side effects of weight gain, tremor, motor restlessness, acne, gastrointestinal distress and need for blood test monitoring can minimize adherence to treatment. Increasingly, clinicians, researchers and patients and their families are turning to an array of over the counter products considered complementary and alternative treatments. That dietary supplements appear to be safe and even healthful make them attractive options, especially for very young children, for those with only mild to moderate distress, or as supplements to conventional treatment. Yet, few studies exist to support their use in pediatric populations.

N-acetylcysteine (NAC) may be such an alternative. NAC is an acetylated amino acid and a precursor of glutathione. When ingested, NAC increases cysteine levels and allows for the synthesis of more glutathione in the brain. Glutathione, an antioxidant, acts to reduce oxidative stress, which has been implicated in BP disorder and major depression [32, 33]. Glutathione is poorly absorbed and rapidly metabolized when ingested, and thus is neither a viable nor helpful treatment option [34]. NAC, however, crosses the blood—brain barrier with ease and may be a more viable catalyst for this process [35].

NAC was FDA approved as a prescription drug in 1963 to treat acetaminophen overdose. For this reason, in July 2020, the Federal Drug Administration indicated that NAC cannot lawfully be marketed as a dietary

supplement, however prior to this announcement, many different forms of oral NAC supplements were available over the counter and sold as a complementary and alternative treatment for a variety of medical conditions.

Clinical trials in adults have provided compelling evidence suggesting NAC's efficacy as an evidence-based treatment for BP disorder. Open-label and double-blind, randomized, placebo-controlled trials of NAC have found decreases in depression rating scale scores and improvements in global functioning in adults with BP disorder and depressive symptoms [36, 37]. NAC's safety in pediatric populations has also been demonstrated in trials of children and adolescents with autism spectrum disorders [38–40], obsessive–compulsive disorder (OCD) [41, 42], non-suicidal self-injurious behavior [43], and cannabis dependence [44]. These trials showed a positive response to treatment with NAC with excellent tolerability. NAC's demonstrated helpfulness in treating a multitude of psychiatric disorders (OCD; marijuana, nicotine, and cocaine addictions; gambling; skin picking; nail biting; trichotillomania; schizophrenia; autism; and BP disorder) suggests that it may also be safe and effective in the treatment of pediatric BP disorder and worthy of further investigation [45]. Further adding to its appeal, NAC has evidence of positive impact on adverse events when used as a combination treatment with antipsychotics and possibly with lithium [32, 46].

The main aim of this study was to assess the safety, tolerability, and effectiveness of NAC in the treatment of pediatric BP spectrum disorder. To this end, we completed a 12-week, open-label trial in children and adolescents 5–17 years old with BP spectrum disorder. We hypothesized that NAC would be both a safe and effective treatment in this population and would be well tolerated. To the best of our knowledge, this is the first systematic assessment of NAC in the treatment of pediatric BP spectrum disorder.

#### **Methods**

#### **Participants**

Participants were male and female children and adolescents ages 5–17 meeting *Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5)* diagnostic criteria for BP spectrum disorder (type I, type II, or not otherwise specified) and displaying mixed, manic, or hypomanic symptoms without psychotic features at the time of evaluation.

All bipolar spectrum disorder diagnoses were established by clinical interviews of the children and their parents or guardians by an expert clinician, supported by the mania and depression mood modules of the Kiddie Schedule of Affective Disorders and Schizophrenia,

Epidemiological Version (K-SADS-E) [47, 48]. Participants were required to meet criteria for BP-I, BP-II or BP-NOS as defined by the DSM-5.

To ensure that participants with severe manic symptoms were not subjected to a trial with a treatment of unclear efficacy, eligible participants were required to have a Young Mania Rating Scale (YMRS) [49–51] total score  $\geq 20$  and  $\leq 40$  at baseline assessment. Participants with a score of 8 ("delusions; hallucinations") on YMRS item 8 (content) were excluded from the study. All assessments were completed by board-certified or board-eligible child and adolescent psychiatrists trained to a high level of interrater reliability. The intraclass correlation score for interrater reliability on the YMRS was 0.81 [52].

Participants with any serious or unstable medical illness were excluded. Those with a history of sensitivity or intolerance to NAC, severe allergies, or multiple adverse drug reactions were also excluded from the study. Participants with an estimated full scale intelligence quotient (IQ) < 70 were excluded. Finally, active substance abusers, participants judged clinically to be at serious suicidal risk, and participants with a current diagnosis of schizophrenia were excluded from participation.

Concomitant psychotropic medications were allowed in this study as long as the participant's treatment regimen remained the same throughout the entire study and had been stable for at least one month prior to study entry. Only participants with a poor response to their current medication treatment would be advised to consider a taper off their medications for entry into the study. However, no participants were tapered off their medications over the course of this study. Non-pharmacological treatments such as individual, family, or group therapy were allowed if they were in place before the participant joined the study. The participant's therapy regimen was required to remain the same throughout the study. No new pharmacological or non-pharmacological treatments were to be initiated after study participation had begun. The use of the benzodiazepine lorazepam was permitted during the study at a maximum dosage of 2 mg per day for maximum of three days during the study. Any greater need for lorazepam was considered evidence of poor treatment response and grounds for termination from the study.

All study procedures were reviewed and approved by the committee for human subjects at our institution. All participants' parents or guardians and participants ages 14 years or older signed written informed consent forms and participants ages 7 years to 13 years signed written assent forms. The trial was registered on ClinicalTrials. gov (Identifier: NCT02357290).

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#### Study design

All participants received open-label treatment with Bio-Advantex brand N-acetylcysteine ("PharmaNAC") in 900 mg effervescent tablets. Participants were instructed to dissolve each tablet in at least 8 oz of liquid (water, juice, or any carbonated drink). Participants ages 5–12 started with an initial dose of 900 mg daily for the first week of the study and were then titrated up to a maximum dose of 1800 mg daily in the second week of the study. Participants ages 13–17 followed the same titration schedule but were then titrated up to a maximum dose of 2700 mg daily in the third week of the study. These age-based maximum doses were maintained for the remainder of the trial and could be separated into two daily doses if preferred.

Study clinicians assessed safety and efficacy of the study treatment at weekly intervals. For the first four weeks of treatment, participants were seen in our office. After week 4, participants returned to the office monthly, and the study doctor conducted weekly visits over the phone with the subject's parent or guardian between office visits. Participants were asked to return unused tablets at each office visit. Study medication was counted by study staff at every office visit to ensure compliance and participants who failed to keep study appointments or were non-compliant with treatment (less than 70% compliance for two weeks or longer) were discontinued from study. Adverse events and concomitant medications were monitored weekly.

#### Clinician-rated assessment scales

Severity of manic and depressive symptoms were assessed weekly using the YMRS, the Massachusetts General Hospital Pediatric Mania Symptom Checklist (MSC), the Children's Depression Rating Scale (CDRS) [53, 54], and the Hamilton Depression Rating Scale (HDRS) [55]. Global functioning was assessed weekly using the Global Assessment of Functioning (GAF) [56]. ADHD and psychotic symptoms were evaluated at baseline, midpoint, and endpoint with the ADHD Rating Scale (ADHD-RS) [57] and the Brief Psychiatric Rating Scale (BPRS) [58], respectively. To determine clinically significant severity and improvement relative to baseline, the NIMH Clinical Global Impression (CGI) severity (CGI-S) and improvement (CGI-I) scales [59] were completed weekly. CGI-S and CGI-I were assessed separately for mania, depression, anxiety, ADHD, ODD, and overall BP spectrum disorder.

#### Parent-rated scales

The parent or guardian of each participant completed the Pediatric Quality of Life Enjoyment and Satisfaction Questionnaire (PQ-LES-Q) [60] at baseline and endpoint to assess life enjoyment and satisfaction, the Behavior Rating Inventory of Executive Functioning – Parent Form (BRIEF-P) [61] at baseline and endpoint to assess executive functioning deficits, and the Social Responsiveness Scale, Second Edition – School-Age Form (SRS-2) [62] at screening and endpoint to assess capacities of social interaction. Socioeconomic status (SES) was measured at screening using the 5-point Hollingshead scale [63].

#### Cognitive assessments

Full scale IQ was estimated at screening using the Kaufman Brief Intelligence Test, Second Edition (KBIT-2) [64] for participants age 5 and the Wechsler Abbreviated Scale of Intelligence, Second Edition (WASI-II) [65] for participants ages 6–17.

Cognitive functioning was assessed at screened and endpoint using subtests of the Wechsler Preschool and Primary Scale of Intelligence, Third Edition (WPPSI-III) [66] for participants age 5, subtests of the Wechsler Intelligence Scale for Children, Fourth Edition (WISC-IV) [67] for participants ages 6–16, and the Wechsler Adult Intelligence Scale, Fourth Edition (WAIS-IV) [68] for participants age 17. However, no participants age 5 and only one participant age 17 completed the cognitive functioning assessments at endpoint. Therefore, we only analyzed changes in cognitive functioning for participants ages 6–16 using the WISC-IV.

#### Safety assessment

Safety was assessed at each visit using spontaneous reports of treatment-emergent adverse events. Changes in vital signs (blood pressure, temperature, height, and weight) were recorded at every office visit.

The Columbia-Suicide Severity Rating Scale (C-SSRS) [69] was administered weekly by a study clinician to assess initial and emergent suicidality in participants. Participants with scores for 4 or higher on the C-SSRS were discontinued from the study.

#### **Definition of clinical response**

Response was defined as having either a  $\geq$  30% reduction in symptoms according to the YMRS, HDRS, or CDRS at endpoint or by a rating of "much improved" or "very much improved" (score  $\leq$  2) on the CGI-I for mania or depression.

Poor response to treatment was defined by a CGI-S score for overall BP spectrum disorder 2 points higher (more severe) than baseline for 2 weeks in a row or a YMRS score 30% higher than baseline for 2 weeks in a row, which was grounds for discontinuation from the study as determined by the study clinician. Participants

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with individual YMRS item scores of 8 on item 8 (content) or greater than 6 on item 9 (disruptive/aggressive behavior) for 2 weeks in a row were also discontinued from the study.

#### Statistical analysis

Outcome measures and vital signs were analyzed using mixed-effects linear regression models with time as the predictor. For scales that were only collected at baseline and endpoint, participants were excluded from analysis if they were missing data at either time point. All models used robust standard errors to account for the repeated measures on each subject. Additionally, we performed a sensitivity analysis restricting the sample to participants receiving NAC monotherapy. Tests were two-tailed and performed at the 0.05 alpha level using Stata (Version 15.1) [70]. All analyses were intention-to-treat (ITT). Descriptive statistics are reported as absolute numbers, percentages, or mean ± standard deviation (SD) and use last observation carried forward (LOCF) for participants who did not complete the 12 weeks. Standardized mean differences (SMDs) were calculated as Cohen's d comparing baseline and endpoint assessments; the calculation was the difference in mean values divided by the pooled standard deviation. In all cases, a positive SMD indicates an improvement from baseline to endpoint. As defined by Cohen [71], a SMD = 0.2 is interpreted as a small effect size, SMD = 0.5 as medium, and SMD = 0.8 as large.

#### Results

Forty participants enrolled in the trial. Fourteen participants completed the 12-week study. Of the 26 participants who did not complete the study, six were deemed ineligible after enrollment, 14 withdrew from the study for various reasons, three were investigator-terminated, and three were lost to follow-up.

Of the 40 enrolled participants, nine received concomitant medications throughout their time in the study. Of the nine participants receiving concomitant medications, seven were only taking one concomitant medication (two participants were taking aripiprazole, two were taking ziprasidone and the others were taking buproprion, olanzapine and venlafaxine), one participant was taking two concomitant medications (aripiprazole and citalopram), and one was taking three concomitant medications (aripiprazole, buproprion and lamotrigine).

Analyses for this study included all participants who were exposed to treatment for two weeks or longer (N=26). Of this group, five participants received concomitant medications throughout their time in the study, while the 21others received NAC monotherapy. None of the exposed participants withdrew or were terminated from the study due to adverse events (AEs). Of the final

five included in the analyses, four were only taking one concomitant medication (two taking aripiprazole, one taking olanzapine, one taking ziprasidone,) and one was taking two concomitant medications (ariprazole and citalopram).

Of the 26 participants included in the analysis, 14 completed all 12 weeks, one completed 11 weeks, one completed six weeks, five completed four weeks, three completed three weeks, and two completed two weeks. The high drop-out rate suggests the need to design future trials which place lower burden on participants, with fewer study visits, fewer study measures and study visits accomplished via telepsychiatry.

#### Baseline demographic and clinical characteristics

Participants were an average age of  $10.0\pm3.8$  years and had an average SES of  $1.9\pm1.1$ . Twelve (46%) participants were male. Nineteen participants (73%) were Caucasian, three (11%) were Black or African American, one (4%) was American Indian or Alaskan Native, two (8%) reported being more than one race, and one (4%) did not report race. At baseline, participants had an average YMRS score of  $23.8\pm5.7$ , CDRS score of  $37.1\pm11.7$ , and HDRS score of  $15.3\pm7.9$ .

#### Overall functioning

There were significant improvements in overall functioning as measured by the clinician-rated GAF (Baseline:  $53.8\pm5.8$ , Endpoint:  $58.2\pm8.3$ , Mean Difference:  $4.3\pm6.1$ ; SMD [95% CI]: 0.61 [0.05, 1.16]; p<0.001). Similarly, parents reported significant improvements in their children's quality of life as measured by the PQ-LES-Q (N=16; Baseline:  $44.1\pm7.0$ , Endpoint:  $50.1\pm7.9$ , Mean Difference:  $6.0\pm7.6$ ; SMD [95% CI]: 0.81 [0.08, 1.52]; p=0.002).

#### Anti-manic and anti-depressant response to treatment

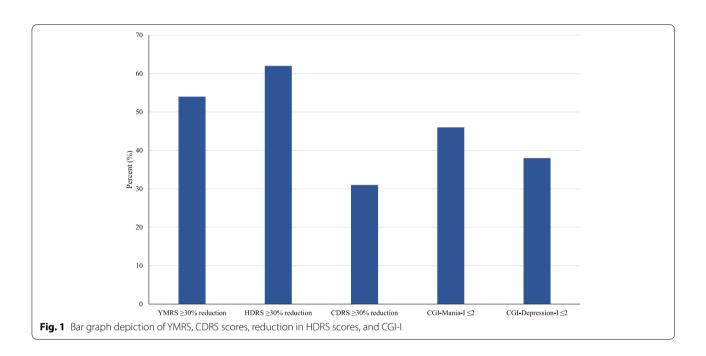
As shown in Table 1, there were significant reductions in manic symptoms as measured by the YMRS and in depressive symptoms as measured by the CDRS and HDRS from baseline to endpoint. Furthermore, as shown in Fig. 1, 14 (54%) participants had a  $\geq$  30% reduction in scores on the YMRS, eight (31%) had a  $\geq$  30% reduction in CDRS scores, and 15 (62%) had a  $\geq$  30% reduction in HDRS scores. Upon examination of the CGI-I, 12 (46%) participants had CGI-Mania-I scores  $\leq$  2 and 10 (38%) had CGI-Depression-I scores  $\leq$  2 (Fig. 1). Improvement in manic symptoms was further supported by the clinician-rated MSC which showed a significant reduction in symptoms (Baseline: 17.1  $\pm$  5.7, Endpoint: 11.8  $\pm$  7.8, Mean Difference: -5.3  $\pm$  6.6; SMD [95% CI]: 0.77 [0.21, 1.33]; p < 0.001).

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**Table 1** Change in YMRS, HDRS, and CDRS scores over time (N = 26)

	Baseline Mean ± SD	Endpoint† Mean ± SD	Difference Mean ± SD	Cohen's d (95% CI)	Test Statistic	<i>P</i> -Value
YMRS	23.8 ± 5.7	15.7 ± 10.1	-8.1 ± 8.5	0.99 (0.41, 1.56)	z=-7.19	<.001
HDRS	$15.3 \pm 7.9$	$9.5 \pm 8.5$	$-5.8 \pm 7.2$	0.70 (0.14, 1.26)	z = -3.95	<.001
CDRS	$37.1 \pm 11.7$	$31.9 \pm 14.7$	$-5.2 \pm 10.7$	0.39 (-0.16, 0.94)	z = -3.90	<.001

<sup>&</sup>lt;sup>†</sup> Endpoint mean uses last observation carried forward for subjects who dropped prior to week 12



## Response to treatment in other domains of psychopathology

There were significant reductions in symptoms of overall psychopathology as measured by the clinician-rated BPRS (N=19; Baseline:  $43.4\pm12.3$ , Endpoint:  $34.8\pm13.9$ , Mean Difference:  $-8.6\pm10.8$ ; SMD [95% CI]: 0.65 [-0.003, 1.30]; p<0.001). Examining individual psychopathology, responses to treatment in domains other than mania and depression were less robust. Figure 2 shows the percent of participants with CGI-I scores  $\leq 2$  for anxiety, ADHD, and ODD, ranging from 12% for ADHD to 27% for ODD. While there were few participants with CGI-ADHD-I  $\leq 2$ , there was a statistically significant improvement in ADHD symptoms on the clinician-rated ADHD-RS (N=20; Baseline:  $34.2\pm15.0$ , Endpoint  $27.2\pm15.4$ , Mean Difference:  $-7.1\pm14.0$ ; SMD [95% CI]: 0.46 [-0.17, 1.09]; p=0.03).

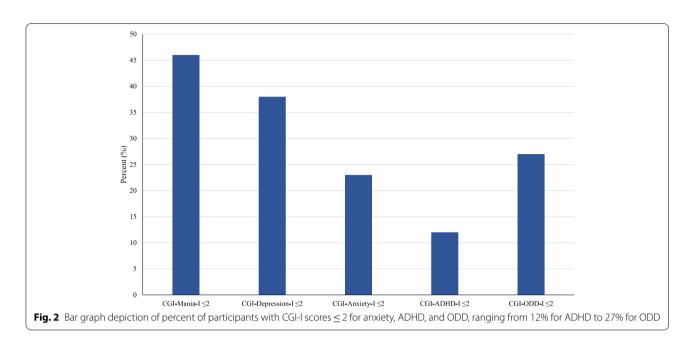
#### Cognitive side effects

There were no cognitive side effects as demonstrated by the BRIEF-P, WISC-IV, and SRS. While there were statistically significant reductions in scores on seven of the eight BRIEF-P subscales and all three composite scales (N=16; p<0.05 on all composite and subscales except Self-Monitoring with p=0.18), the reductions were small and the SMDs for these subscales were not clinically meaningful (0.21 to 0.69). There were no significant differences in scores from baseline to endpoint on the four WISC-IV executive functioning measures (N=13; all p>0.05) or the six SRS subscales (N=18; all  $p\geq0.05$ ). The SMDs for the WISC-IV measures ranged from -0.02 to 0.52, and the SMDs for the SRS subscales ranged from 0.03 to 0.28.

#### Safety measures

AEs for participants who started the study medication for at least one week (N=29) are reported in Table 2. Of those who started the study medication, 17 (59%) experienced at least one AE, reporting an average of  $2.3\pm1.6$  AEs (range: 1-6). The most commonly reported AE was nausea/vomit/diarrhea.

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**Table 2** Adverse events in subjects who started study medication ( $\geq 1$  week) (N = 29)

Adverse Event	Occurred Only 1 Time	Occurred ≥ 2 Times	
Nausea/Vomit/Diarrhea (Gastrointestinal)	5 (17)	2 (7)	
Insomnia	4 (14)	0 (0)	
Cold/Infection/Allergy	3 (10)	1 (3)	
Headache	3 (10)	0 (0)	
Anxious/Worried	2 (7)	0 (0)	
Neurological	2 (7)	0 (0)	
Dizzy/Lightheaded	1 (3)	0 (0)	
Musculoskeletal	1 (3)	0 (0)	
Increased Appetite	0 (0)	1 (3)	
Dermatological	0 (0)	1 (3)	
Other: Thirsty	0 (0)	1 (3)	
Other: Bike injury with need for stitches	1 (3)	0 (0)	
Other: Dissociation	1 (3)	0 (0)	

Over the course of the trial, there were no clinically or statistically significant changes in systolic blood pressure, diastolic blood pressure, pulse, or height (all p > 0.05). There was a statistically significant increase in weight (Baseline:  $44.1 \pm 25.9$  kg, Endpoint:  $45.0 \pm 26.5$  kg, Mean Difference:  $0.9 \pm 1.5$  kg; SMD [95% CI]: -0.04 [-0.59, 0.52]; p = 0.01). While most participants saw small changes in weight, there were two who had outlying weight gains of 4.6 and 5.4 kg, one of whom was taking a concomitant medication. The participant with weight gain of 4.6 kg was also taking olanzapine (10 mg). The other participant with outlying weight gain was not taking any concomitant medications.

#### Sensitivity analysis: NAC monotherapy

Results largely remained the same when we performed a sensitivity analysis restricting the sample to the 21 exposed participants who were receiving NAC monotherapy. Significance stayed the same for all outcome measures except for the BRIEF Self Monitor and Initiate subscales, which lost significance. Most of the effect sizes saw minimal changes, with the exception of the YMRS, BPRS and MSC, which dropped from 0.99, 0.65, and 0.77, respectively, in the whole group to 0.91, 0.54, and 0.69, respectively, in the group receiving NAC monotherapy. However, despite these decreases, the SMDs for the YMRS, BPRS, and MSC remained moderate to large in size.

#### Discussion

Our results provide pilot data suggesting the safety and tolerability of NAC used in a pediatric population with mixed, manic or hypomanic states. In these pilot findings, with open-label conditions and small sample size, treatment with NAC led to significant improvements in our primary outcome measure of manic symptoms, but also depressive symptoms, and was very well tolerated. While preliminary from a pilot open label study, these findings suggest the need for larger randomized controlled trials. While this study addresses bipolar spectrum disorder with inclusion criteria focused on the presence of full and subthreshold manic symptomatology, the depression rating scales at baseline indicate significant mixed state depressive states, consistent with previous reports that pediatric bipolar disorder is most frequently mixed in presentation. Additionally, in this

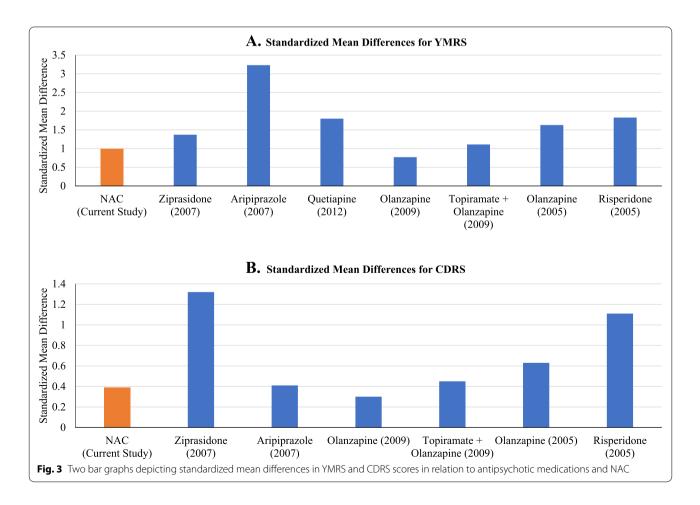
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pilot study NAC treatment led to improvements in over functioning and improved quality of life. The results of this open-label, prospective clinical trial suggest that NAC is a well-tolerated potentially effective treatment for pediatric BP spectrum disorder. If confirmed in future controlled clinical trials, these results may provide an alternative treatment option in the management of mania and hypomania in youth with pediatric BP spectrum disorder, either as monotherapy or as an add-on treatment as demonstrated in this study.

Although novel in a pediatric population, our findings are consistent with previous research in adult populations. NAC has been shown to improve depressive symptoms and global functioning in adults in open-label and double-blind, randomized, placebo-controlled trials [36, 37]. The current pilot findings suggest that NAC may also be effective for children and adolescents. An agent with minimal effect on the adult brain could play a major positive role in the developing brain, and future study can assess whether intervening with supplementation of safe complementary and alternative treatments such as NAC during critical periods may enhance brain development.

While effect sizes for mania and depression of atypical antipsychotic medications used for pediatric BP spectrum disorder in open label trials generally exceed those found with NAC, the SMDs for NAC for both the YMRS and CDRS are comparable to open-label trials of a subset of antipsychotic medications in pediatric BP disorder, and even exceed those from a trial of olanzapine, as illustrated in Fig. 3 [72–76]. This preliminary evidence of effectiveness indicates that NAC may prove to provide an important option in the treatment of youth with BP spectrum disorder, as an adjunctive treatment or in cases in which side effects make the use of mood stabilizing medications medically inadvisable. While complementary and alternative treatments may offer promising alternatives to, or additions to, prescription medications with low side effect liability, natural treatments with unclear and/ or low efficacy should never discourage individuals from assessing the risks and benefits of treatment with FDAapproved known anti-manic agents with demonstrated efficacy.

Participants treated with NAC also improved symptoms of anxiety, ADHD, and ODD, which is noteworthy considering the high rates of these comorbidities in



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pediatric BP disorder [5, 8, 9] and the high levels of morbidity associated with these comorbid disorders [8].

NAC treatment in this pediatric population was well-tolerated, as indicated by a low frequency of AEs, no dropouts of exposed participants due to any AEs, and a lack of significant changes in vital signs, consistent with previous research on the use of NAC in children and adolescents with other psychiatric disorders [38–40, 42–44]. The only significant change in any measured vital signs was an increase in weight of an average of 0.9 kg over the course of a 12-week treatment period. To our knowledge, no other study of NAC has reported weight gain, and there is no clear pathophysiologic reason for weight gain, but an age matched controlled study of monotherapy NAC would be informative for this side effect.

In addition to potential efficacy for psychiatric symptoms and a benign safety profile, NAC may offer additional health benefits. In a report of NAC as an add-on to antipsychotic medication in schizophrenia, the authors concluded that NAC may act as a neuroprotective treatment for extrapyramidal symptoms [46]. Further, there is evidence from rat studies that NAC could play a role in attenuating lithium induced renal failure [77]. These results argue for the further study of NAC as an add-on treatment that could both ameliorate bipolar symptoms and moderate the side effects associated with standard mood stabilizer treatments.

The findings presented by the study should be considered in the context of methodological limitations. Participants received treatment in open-label fashion and there was no placebo control group. Our final sample consisted of children referred to our study and was largely Caucasian and thus our findings may not be generalizable to community samples. Our sample included both pre-pubertal and adolescent aged children, but given the small sample size, we cannot draw any conclusions regarding the relative efficacy of NAC in younger versus older children. Additionally, only those with mild to moderate YMRS scores (YMRS<40) were allowed to participate, limiting the generalizability of our findings to youth with more severe symptoms. As we conducted only abbreviated structured interviews with a focus on diagnoses of pediatric BP spectrum disorder, we were unable to report thoroughly on comorbid conditions. Finally, our sample size was relatively small and was further limited by a high dropout rate, due to fluctuations in BP spectrum disorder with the need for more aggressive treatment as well as the ready availability of NAC over the counter. Given the safety associated with natural treatments, reducing obstacles to participation by utilizing telepsychiatry virtual visits and thus obviating the need for travel to academic medical centers could encourage more participants to join and stay in trials of natural treatments. Future research could also benefit by involving larger samples, both monotherapy and add-on trials, and continuing to follow participants who require more aggressive pharmacological treatment, but continue to augment with NAC treatment.

#### **Conclusions**

Despite these limitations, the results of this study are encouraging and support the further scientific investigation of NAC as a treatment in youth with BP spectrum disorder. NAC was well-tolerated and the preliminary pilot findings of improvement in both manic and depressive symptoms lays the foundation for more robust study. If the results of this open label study are confirmed in future larger controlled, randomized placebo-controlled trials, NAC may offer a safe and effective alternative or augmenting treatment, for BP spectrum disorder in children and adolescents.

#### **Abbreviations**

BP: Pediatric Bipolar: ADHD: Attention-deficit/hyperactivity Disorder: ODD: Oppositional Defiant Disorder; FDA: Food and Drug Administration; NAC: N-acetylcysteine; OCD: Obsessive-compulsive Disorder; DSM-5: Diagnostic and Statistical Manual of Mental Disorders. Fifth Edition: K-SADS-E: Kiddie Schedule of Affective Disorders and Schizophrenia, Epidemiological Version; YMRS: Young Mania Rating Scale; IQ: Intelligence Quotient; MSC: Mania Symptom Checklist; CDRS: Children's Depression Rating Scale; HDRS: Hamilton Depression Rating Scale; GAF: Global Assessment of Functioning; ADHD-RS: ADHD Rating Scale; BPRS: Brief Psychiatric Rating Scale; CGI: Clinical Global Impression scale; CGI-S: Clinical Global Impression – Severity scale; CGI-I: Clinical Global Impression - Improvement scale; PQ-LES-Q: Pediatric Quality of Life Enjoyment and Satisfaction Questionnaire; BRIEF-P: Behavior Rating Inventory of Executive Functioning – Parent Form; SRS-2: Social Responsiveness Scale, Second Edition - School-Age Form; SES: Socioeconomic Status; KBIT-2: Kaufman Brief Intelligence Test, Second Edition; WASI-II: Wechsler Abbreviated Scale of Intelligence, Second Edition; WPPSI-III: Wechsler Preschool and Primary Scale of Intelligence, Third Edition; WISC-IV: Wechsler Intelligence Scale for Children Fourth Edition: WAIS-IV: Wechsler Adult Intelligence Scale Fourth Edition; C-SSRS: Columbia-Suicide Severity Rating Scale; ITT: Intentionto-treat; SD: Standard Deviation; LOCF: Last Observation Carried Forward; SMD: Standardized Mean Difference: AE: Adverse Event: SGAs: Second Generation Antipsychotics.

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#### Authors' contributions

JW made substantial contributions to the conception and design, acquisition of data, analysis and interpretation of data, drafting the manuscript, and critical revision of the manuscript for important intellectual content. MD made substantial contributions to the analysis and interpretation of data, drafting the manuscript, and critical revision of the manuscript for important intellectual content. AF made substantial contributions to the analysis and interpretation of data, drafting the manuscript, and critical revision of the manuscript for important intellectual content. CV made substantial contributions to the acquisition of data, analysis and interpretation of data, and critical revision of the manuscript for important intellectual content. MU made substantial contributions to the acquisition of data, analysis and interpretation of data, and critical revision of the manuscript for important intellectual content. TAC made substantial contributions to the acquisition of data, analysis and interpretation of data, and critical revision of the manuscript for important intellectual

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content. GJ made substantial contributions to the conception and design, acquisition of data, analysis and interpretation of data, and critical revision of the manuscript for important intellectual content. SVF made substantial contributions to the analysis and interpretation of data and critical revision of the manuscript for important intellectual content. EC made substantial contributions to the conception and design, acquisition of data, analysis and interpretation of data, drafting the manuscript, and critical revision of the manuscript for important intellectual content. JB made substantial contributions to the conception and design, acquisition of data, analysis and interpretation of data, and critical revision of the manuscript for important intellectual content. All authors approved the final version of the manuscript as submitted and agree to be accountable for all aspects of the work presented.

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#### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

#### **Declarations**

#### Ethics approval and consent to participate

All study procedures were reviewed and approved by the committee for human subjects at our institution. The Mass General Brigham Institutional Review Boards (IRBs) is the committee/institutional review board that approved this study. Written informed consent was obtained from all the participants and their parents/guardians of minors. Consent was obtained by a study clinician who explained the study in detail, including risks and benefits of participation, and participant and parent were encouraged to ask gues tions. Participation in the study was optional and participants could withdraw consent at any time. This is a clinical trial with human participants. All research staff successfully completed the Good Clinical Practice (GCP) course based on the International Conference for Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use (ICH) ICH-GCP Principles and the Code of Federal Regulations (CFR) for clinical research trials in the United States (US). This study was in full compliance with the standards and guidelines set forth by the ICH-GCP and consistent with the principles of the Declaration of Helsinki.

#### Consent for publication

Not applicable.

#### Competing interests

Dr. Janet Wozniak receives research support from PCORI and Demarest Lloyd, Jr. Foundation. In the past, Dr. Wozniak has received research support, consultation fees or speaker's fees from Eli Lilly, Janssen, Johnson and Johnson, McNeil, Merck/Schering-Plough, the National Institute of Mental Health (NIMH) of the National Institutes of Health (NIH), Pfizer, and Shire. She is the author of the book, "Is Your Child Bipolar" published May 2008, Bantam Books. Her spouse receives royalties from UpToDate; consultation fees from Emalex, Noctrix, Disc Medicine, Avadel, HALEO, OrbiMed, and CVS; and research support from Merck, NeuroMetrix, American Regent, NIH, NIMH, the RLS Foundation, and the Ellison Baszucki Donor Fund. In the past, he has received honoraria, royalties, research support, consultation fees or speaker's fees from: Otsuka, Cambridge University Press, Advance Medical, Arbor Pharmaceuticals, Axon Labs, Boehringer-Ingelheim, Cantor Colburn, Covance, Cephalon, Eli Lilly, FlexPharma, GlaxoSmithKline, Impax, Jazz Pharmaceuticals, King, Luitpold, Novartis, Neurogen, Novadel Pharma, Pfizer, Sanofi-Aventis, Sepracor, Sunovion, Takeda, UCB (Schwarz) Pharma, Wyeth, Xenoport, Zeo. Dr. Mai Uchida receives research support from the NIMH under Award Number 1K23MH122667-01.

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Finally, he received speaker's honorariums from the American Academy of Child and Adolescent Psychiatry, The Israeli Society of ADHD, the Canadian Academy of Child and Adolescent Psychiatry, and the University of Jülich. In the past year, Dr. Stephen V. Faraone received income, potential income, travel expenses continuing education support and/or research support from, Akili Interactive Labs, Arbor, Genomind, Ironshore, KemPharm/Corium, Ondosis, Otsuka, Rhodes, Shire/Takeda, Sunovion, Supernus, Tris, and Vallon. With his institution, he has US patent US20130217707 A1 for the use of sodiumhydrogen exchange inhibitors in the treatment of ADHD. In previous years, he received support from: Alcobra, Aveksham, CogCubed, Eli Lilly, Enzymotec, Impact, Janssen, KemPharm, Lundbeck/Takeda, McNeil, Neurolifesciences, Neurovance, Novartis, Pfizer, and Vaya. He also receives royalties from books published by Guilford Press: Straight Talk about Your Child's Mental Health; Oxford University Press: Schizophrenia: The Facts; and Elsevier: ADHD: Non-Pharmacologic Interventions. He is also Program Director of www.adhdi nadults.com. Dr. Faraone is supported by the European Union's Horizon 2020 research and innovation programme under grant agreement No 667302 and NIMH grants U01 MH109536-01, 1R01MH116037-01A1 and 1R01AG06495502. Dr. Joseph Biederman is currently receiving research support from the following sources: AACAP, Feinstein Institute for Medical Research, Genentech, Headspace Inc., NIDA, Pfizer Pharmaceuticals, Roche TCRC Inc., Sunovion Pharmaceuticals Inc., Takeda/Shire Pharmaceuticals Inc., Tris, and NIH. Dr. Biederman and his program have received royalties from a copyrighted rating scale used for ADHD diagnoses, paid by Biomarin, Bracket Global, Cogstate, Ingenix, Medavent Prophase, Shire, Sunovion, and Theravance; these royalties were paid to the Department of Psychiatry at MGH. Through Partners Healthcare Innovation, Dr. Biederman has a partnership with MEMOTEXT to commercialize a digital health intervention to improve adherence in ADHD. Through MGH corporate licensing, Dr. Biederman has a US Patent (#14/027,676) for a non-stimulant treatment for ADHD, a US Patent (#10,245,271 B2) on a treatment of impaired cognitive flexibility, and a patent pending (#61/233,686) on a method to prevent stimulant abuse. In 2020: Dr. Biederman received an honorarium for a scientific presentation from Tris, and research support from the Food & Drug Administration. He receives honoraria from the Medlearning Inc and MGH Psychiatry Academy for tuition-funded CME courses. In 2019, Dr. Biederman was a consultant for Akili, Avekshan, Jazz Pharma, and Shire/ Takeda. He received research support from Lundbeck AS and Neurocentria Inc. Through MGH CTNI, he participated in a scientific advisory board for Supernus. He received honoraria from the MGH Psychiatry Academy for tuition-funded CME courses. In 2018, Dr. Biederman was a consultant for Akili and Shire. He received honoraria from the MGH Psychiatry Academy for tuition-funded CME courses. In 2017, Dr. Biederman received research support from the Department of Defense and PamLab. He was a consultant for Aevi Genomics, Akili, Guidepoint, Ironshore, Medgenics, and Piper Jaffray. He was on the scientific advisory board for Alcobra and Shire. He received honoraria from the MGH Psychiatry Academy for tuition-funded CME courses. In previous years, Dr. Biederman received research support, consultation fees, or speaker's fees for/from the following additional sources: AACAP, Abbott, Akili, Alcobra, Alza, APSARD, Arbor Pharmaceuticals, AstraZeneca, Avekshan, Boston University, Bristol Myers Squibb, Cambridge University Press, Celltech, Cephalon, The Children's Hospital of Southwest Florida/Lee Memorial Health System, Cipher Pharmaceuticals Inc., Eli Lilly and Co., Esai, ElMindA, Forest Research Institute, Fundacion Areces (Spain), Forest, Fundación Dr. Manuel Camelo A.C., Glaxo, Gliatech, Hastings Center, Ironshore, Janssen, Juste Pharmaceutical Spain, Magceutics, McNeil, Medgenics, Medice Pharmaceuticals (Germany), Merck, MGH Psychiatry Academy, MMC Pediatric, NARSAD, NIDA, New River, NICHD, NIMH, Novartis, Noven, Neurosearch, Organon, Otsuka, Pfizer, Pharmacia, Phase V Communications, Physicians Academy, The Prechter Foundation,

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Ms. Maura DiSalvo, Ms. Abigail Farrell, Dr. Carrie Vaudreuil, and Ms. Emmaline Cook have nothing to disclose.

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